

10/525729

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Customer No. 22,852

Attorney Docket No. 01197.0249-00000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

In re Application of: )  
)  
Yukio YAMAWAKI, et al. )  
) Group Art Unit:  
Application No.: Not Yet Assigned )  
) Examiner:  
Filed: February 25, 2005 )  
)  
For: NOVEL ACYL GROUP- )  
CONTAINING COMPOSITION )

U.S. National Phase of International Application No.  
PCT/JP2003/010772

MAILSTOP PCT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the international search report. Copies of the listed foreign patent documents and non-patent literature are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO/SB/08 and are in a non-English language:

1. Denmark Application No. 2500802
2. Japanese Application No. 2000-44554 - The relevance of this document is discussed at pages 3, 5, and 6 of the specification of the present application. An English language abstract is also enclosed.
3. Japanese Application No. 62-263197. An English language abstract is enclosed.
4. WIPO Publication No. 83/00146
5. Japanese Application No. 50-2973 - The relevance of this document is discussed at pages 2 and 3 of the specification of the present application. An English language abstract is also enclosed.
6. Japanese Application No. 2002-167313 - The relevance of this document is discussed at pages 4, 5, and 6 of the specification of the present application. An English language abstract is also enclosed.
7. Japanese Application No. 55-141243 - The relevance of this document is discussed at page 7 of the specification of the present application. An English language abstract is also enclosed.
8. Japanese Application No. 55-81655 - The relevance of this document is discussed at page 7 of the specification of the present application. An English language abstract is also enclosed.

9. Japanese Application No. 10-219278 - The relevance of this document is discussed at page 9 of the specification of the present application. An English language abstract is also enclosed.

10. Japanese Application No. 10-203956 - The relevance of this document is discussed at page 10 of the specification of the present application. An English language abstract is also enclosed.

11. Japanese Application No. 10-218754 - The relevance of this document is discussed at pages 10 and 12 of the specification of the present application. An English language abstract is also enclosed.

In lieu of a statement of relevance or the translation of the non-English documents 1, 3, and 4, enclosed is an English-language international search report from the Japanese Patent Office in the PCT international application, from which this national phase U.S. application is derived, citing documents 1, 3, and 4, and setting forth the relevance thereof.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed

documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: February 25, 2005

By: Charles E Van Horn #40266  
for Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/rac

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Application Number **10/525729**

Filing Date February 25, 2005

First Named Inventor Yukio YAMAWAKI

Art Unit

Examiner Name

Attorney Docket Number 01197.0249-00000

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,789,758	12-16-1988	TAKEMOTO, et al.	
		US-4,610,983	09-09-1986	TAKAGAWA, et al.	
		US-			
		US-			
		US-			
		US-			

Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		DE 2500802	07-17-1975	FERLUX S.A.		
		JP 2000-44554	02-15-2000	ASAHI DENKA KOGYO KABUSHIKI KAISHA		ABSTRACT
		JP 62-263197	11-16-1987	AJINOMOTO CO., INC.		ABSTRACT
		WO 83/00146	01-20-1983	BURTIN		
		JP 50-2973	01-30-1975	KABUSHIKI KAISHA NIHON CHEMICAL		ABSTRACT
		JP 2002-167313	06-11-2002	ASAHI KASEI CORP		ABSTRACT
		JP 55-141243	11-05-1980	TAIYO KORYO KABUSHIKI KAISHA		ABSTRACT
		JP 55-81655	06-19-1980	KUREHA KAGAKU KOGYO KABUSHIKI KAISHA		ABSTRACT
		JP 10-219278	08-18-1998	KANEBO LTD		ABSTRACT
		JP 10-203956	08-04-1998	KANEBO LTD		ABSTRACT
		JP 10-218754	08-18-1998	KANEBO LTD		ABSTRACT

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		NAKAGAKI, et al., "The Basis of Colloid Chemistry", Dainippon Tosho, page 245, lines 6-11 (August 1, 1976)	PARTIAL

Examiner Signature /Karl Puttlitz/

Date Considered

02/28/2008